

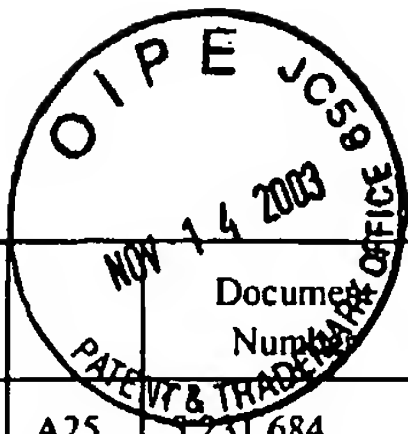


SHEET 1 OF 4

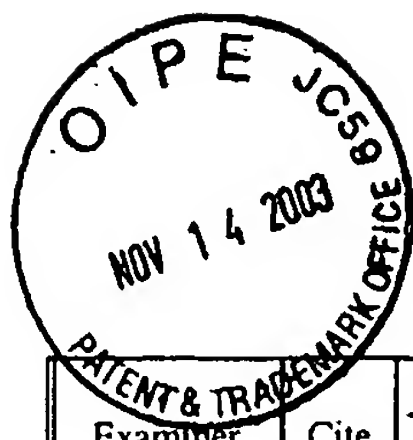
Form PTO-1449 (Rev.)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 0130541	APPLICATION NO. 10/634,664
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Steven J. Rychnovsky, et al.	
		FILING DATE: 08/05/2003	GROUP:
LIGHT DELIVERY CATHETER			

U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
PK	A1	3,045,677	07/24/1962	Wallace	604	101.05	05/03/1960
	A2	4,207,874	06/17/1980	Choy	128	6	03/27/1978
	A3	4,445,892	05/01/1984	Hussein et al.	604	101	05/06/1982
	A3	4,512,762	04/23/1985	Spears	604	21	11/23/1982
	A4	4,619,247	10/28/1986	Inoue et al.	600	116	03/23/1984
	A5	4,660,571	04/28/1987	Hess et al.	128	784	07/18/1985
	A6	4,717,387	01/05/1988	Inoue et al.	604	264	06/25/1986
	A7	4,784,133	11/15/1988	Mackin	606	7	01/28/1987
	A8	4,785,815	11/22/1988	Cohen	128	642	10/23/1985
	A9	4,878,725	11/07/1989	Hessel et al.	350	96.15	05/24/1988
	A10	4,946,460	08/07/1990	Merry et al.	606	24	04/26/1989
	A11	5,019,075	05/28/1991	Spears et al.	606	7	07/31/1990
	A12	5,053,033	10/01/1991	Clarke	606	3	10/10/1990
	A13	5,087,244	02/11/1992	Wolinsky et al.	604	53	09/27/1990
	A14	5,108,390	04/28/1992	Potocky et al.	606	21	11/14/1988
	A15	5,109,859	05/05/1992	Jenkins	128	662.03	10/04/1989
	A16	5,125,058	06/23/1992	Tenerz et al.	385	66	07/10/1991
	A17	5,158,560	10/27/1992	Sogawa et al.	606	15	06/06/1989
	A18	5,169,395	12/08/1992	Narciso, Jr.	606	7	04/26/1991
	A19	5,176,674	01/05/1993	Hofmann	606	7	02/15/1991
	A20	5,178,616	01/12/1993	Uemiya et al.	606	7	06/06/1989
	A21	5,188,632	02/23/1993	Goldenberg	606	7	10/03/1990
	A22	5,196,005	03/23/1993	Doiron et al.	606	7	11/26/1991
✓	A23	5,217,456	06/08/1993	Narciso, Jr.	606	15	02/24/1992
PK	A24	5,226,889	07/13/1993	Sheiban	604	101	07/24/1991



Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
RK	A25 5,231,684	07/27/1993	Narciso, Jr. et al.	385	80	06/22/1992
	A26 5,237,638	08/17/1993	Narciso, Jr.	385	123	03/14/1991
	A27 5,267,995	12/07/1993	Doiron et al.	606	15	09/01/1992
	A28 5,269,777	12/14/1993	Doiron et al.	606	7	03/16/1992
	A29 5,370,608	12/06/1994	Sahota et al.	604	20	05/03/1994
	A30 5,417,653	05/23/1995	Sahota et al.	604	20	01/21/1993
	A31 5,441,497	08/15/1995	Narciso, Jr.	606	15	07/14/1994
	A32 5,454,794	10/03/1995	Narciso et al.	604	280	10/15/1993
	A33 5,456,661	10/10/1995	Narciso, Jr.	604	20	03/31/1994
	A34 5,505,700	04/09/1996	Leone et al.	604	96	06/14/1994
	A35 5,588,961	12/31/1996	Leone et al.	604	21	01/05/1996
	A36 5,620,438	04/15/1997	Amplatz et al.	606	10	04/20/1995
	A37 5,674,198	10/07/1997	Leone	604	101	06/23/1995
	A38 5,700,243	12/23/1997	Narciso, Jr.	604	102	05/24/1996
	A39 5,709,653	01/20/1998	Leone	604	20	07/25/1996
	A40 5,728,068	03/13/1998	Leone et al.	604	101	12/28/1995
	A41 5,728,092	03/17/1998	Doiron et al.	606	15	03/07/1996
	A42 5,797,868	08/25/1998	Leone	604	21	07/25/1996
	A43 5,833,682	11/10/1998	Amplatz et al.	606	15	08/26/1996
	A44 5,876,426	03/02/1999	Kume et al.	607	88	06/13/1996
	A45 5,899,882	05/04/1999	Waksman et al.	604	96	04/04/1996
	A46 5,891,082	04/06/1999	Leone et al.	604	21	11/13/1997
	A47 5,957,917	09/28/1999	Doiron et al.	606	15	09/22/1997
	A48 5,964,751	10/12/1999	Amplatz et al.	606	15	08/25/1998
	A49 5,978,541	11/02/1999	Doiron et al.	385	139	04/16/1997
	A50 6,013,053	01/11/2000	Bower et al.	604	96	05/17/1996
	A51 6,056,721	05/02/2000	Shulze	604	102	08/08/1997
	A52 6,086,558	07/11/2000	Bower et al.	604	96	12/29/1998
	A53 6,096,030	08/01/2000	Ortiz	606	14	09/23/1997
	A54 6,132,423	10/17/2000	Aita et al.	606	7	07/21/1997
	A55 6,138,046	10/24/2000	Dalton	600	476	04/20/1999
	A56 6,146,409	11/14/2000	Overholt et al.	607	88	09/04/1996
	A57 6,165,196	12/26/2000	Stack et al.	606	194	09/25/1998
	A58 6,366,719	04/02/2002	Heath et al.	385	31	08/17/2000
✓	A59 6,364,874	04/02/2002	Bays et al.	606	15	08/28/1998
RK	A60 6,517,533	02/11/2003	Swaminathan	606	20	05/04/1999



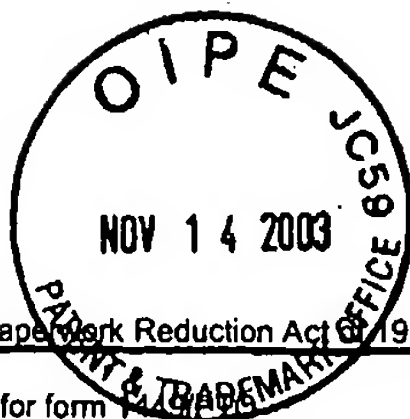
FOREIGN PATENT DOCUMENTS

PATENT & TRADEMARK		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Examiner Initials*	Cite No. ¹	Kind Code ⁵ Office ³ Number ⁴ (if known)						
PK	B1	EP	0 311 458	A2	Sinfosky et al.	12/04/1989		
	B2	FR	2 577 410	A1	Karcher et al.	00/00/1986		
PK	B3	WO	89/06935		Radtke	08/10/1989		
PK	B4	EP	0732079	A1	Ligtenberg et al.	09/18/1996		
PK	B5	EP	07321085	A1	Leone et al.	09/18/1996		



OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials		Author	Title	Date -- Pertinent Pages
RK	C1	Y. Uchida	"Coronary Angioscopes that have been Devised in our Laboratories," <i>Coronary Angioscopy</i>	2001 - Chapter 2, Pages 7 - 24
RK	C2	M. Saab	"Applications of High-Pressure Balloons in the Medical Device Industry"	Sept. 2000
RK	C3	S. Rockson et al	"Photoangioplasty, An Emerging Clinical Cardiovascular Role for Photodynamic Therapy," <i>Circulation</i>	102: 591-596 Aug. 1, 2000
RK	C4	R. Ginsburg et al.	"Percutaneous Transluminal Laser Angioplasty for Treatment of Peripheral Vascular Disease," <i>Radiology</i> 1985	156: 619-24 Sept. 1985
RK	C5	J. Kaplan et al.	"Flash photolysis of Caged Compounds: New Tools for Cellular Physiology," <i>Trends in Neuroscience</i>	12: 54-59 Feb. 1989
RK	C6	C. Gomer et al.	"Properties and Applications on Photodynamic Therapy," <i>Radiation Research</i>	120: 1-18 Oct. 1989
EXAMINER <i>R. Ker</i>				DATE CONSIDERED <i>3/20/04</i>
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1-100

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/645,884
Filing Date	August 21, 2003
First Named Inventor	Rozov, Leonid
Art Unit	unassigned
Examiner Name	unassigned
Attorney Docket Number	ANA-5942

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
RK		US- 3,897,502	07-29-1975	Russell et al.	
RK		US- 4,855,511	08-08-1989	Halpern et al.	
RK		US- 4,888,139	12-19-1989	Halpern et al.	
RK		US- 5,015,781	05-14-1991	Robin et al.	
RK		US- 5,026,924	06-25-1991	Cicco	
RK		US- 5,205,914	04-27-1993	Rozov et al.	
RK		US- 6,225,511	05-01-2001	Chambers et al.	
RK		US- 4,874, 901	10-17-1989	Halpern et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
RK		WO 94/08929	04-28-1994	Imperial Chem..		
RK		GB 2 219 292 A	12-06-1989	Central Glass		
RK		DE 2,361,058	06-19-1975	Hoechst AG		✓
RK		JP06192154 Abstract	07-12-1994	Agency of Ind.		

Examiner Signature	P. Karp	Date Considered	3/20/04
--------------------	---------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.